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REFERENCE	RECPAB_25_0128_06
STATUS	FOR INFORMATION
PAGES	4

PERFORMANCE ASSURANCE BOARD OPERATING PLAN UPDATE AND FORWARD PLAN

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FOR INFORMATION	We have consolidated the forward look on the PAB's agenda with the forward look on the Performance Assurance Operating Plan. We intend on using this paper to cover any PAF updates that PAB should be informed of, invited to discuss, or asked to approve so these updates are shared within a public paper to promote transparency and also so that the Party Assurance paper can be focused on Party performance.
APPENDICES	RECPAB_25_0128_06a – Deep Dive Discussion into Assurance

1 LOOK AHEAD ON PAOP

The table below outlines the key activities that have been planned for the next three months of the 2025 performance year. These activities are in addition to Monthly Performance Assurance activities such as Market Entry Assessment, Maintenance of Qualification, Monthly risk determinations where all Retail Risks are monitored, Specific topic monitoring on Metering updates and other Associated Operational Processes.

Key:		
		Planned activity
		Planned sub-activity
		Potential activity dependent on performance
	✓	Completed
		Activity on hold

Activity	Jan-25	Feb-25	Mar-25
C5.1 KPI Review for Code Manager Professional Services[1]	✓		
A8.1 Annual Rating – Set out approach			
E1.2 TDIS – Theft Target Methodology consultation and publication[2]	✓		
L1. Assurance of Legacy Suppliers and MOAs to support MHHS Transition			
A4. Smart Meter Installation Survey and associated PATs			
A6. Application of quarterly PATs			
A8.1 Annual Rating – Set out approach			
C2.1 Assessment of the accuracy of reporting: REC Code Manager Services (RPS and RTS)			
C2.3 Assessment of the accuracy of reporting: GES			
C2.4 Assessment of the accuracy of reporting: CSS, CSS CA, SO[3]	✓		
D2.2a Sprint 4 – Planning			
C3.2b REC Service PAB deep dive – GES[4]			
C6. CRS Performance Charge			
D2.1c Sprint 3 – Mid-sprint Review[5]	✓		
E1.3 TDIS – Theft Targets Published			
M8. Entry Assessment Information Pack[6]	✓		

[1] Please refer to Service Provider Assurance Paper (RECPAB_25_0128_05_02).
[2] Please refer to Performance Assurance Paper (RECPAB_25_0128_05_01).
[3] Please refer to Service Provider Assurance Paper (RECPAB_25_0128_05_02).
[4] Please refer to Service Provider Assurance Paper (RECPAB_25_0128_05_02).
[5] Please refer to Performance Assurance Paper (RECPAB_25_0128_05_01).
[6] This was issued early during the September PAB (RECPAB_24_0924_FORINFO_01).

Activity	Jan-25	Feb-25	Mar-25
M9. REC Service User Categorisation (timelines may change to align with R0148)			
N3. Performance Assurance Engagement Sessions			
C3.1c PAB Deep Dives: Code Manager Operational Update – Stakeholder Management			
F4. Assurance of REC transformation programmes[7]	✓		
G1. Review of REC governance			

2 G1. REVIEW OF REC GOVERNANCE

We have delayed the review of REC governance, as we identified parallel reviews taking place by the RECCo Board (to which we have contributed) and by the Committee teams. We intend on considering the outputs of the Committee team's review to consider what further work is needed prior to setting a timeline for completion. We will communicate this to the PAB, and update the PAOP if necessary so that market participants are aware of this adjustment to plan.

3 L1. ASSURANCE OF LEGACY SUPPLIERS AND MOAS TO SUPPORT MHHS TRANSITION

The purpose of this activity is to check the readiness of REC Parties to implement changes required under the REC, including Supply Number and DTN changes, in time for MHHS cutover at MHHS Milestone M8, which after MHHS CR022 was due to be delivered on 7 March 2025. As such, the initial timeframe for these assurance activities were to be delivered over the period April 2024 to February 2025.

However, following Ofgem's approval of MHHS CR055 to delay the timeframes of the MHHS Programme, MHHS Milestone M8 has been delayed until 24 September 2025. The assurance activities are therefore now expected to continue until September 2025.

4 C3.2C REC SERVICE PAB DEEP DIVE – CSS, CSS CA, SO

In accordance with the PAOP, the activity was initially planned to be reported to PAB in December. However, the activity has now been pushed back due to delays encountered whilst DCC gained comfort regarding security of the REC Portal before uploading the requested information. The Code Manager can confirm that we have now received a response to the information request and will commence the exercise with the aim of reporting the outcome of the exercise to PAB during the March meeting.

5 DEEP DIVE DISCUSSION INTO ASSURANCE (FOLLOW UP FROM THE DECEMBER WORKSHOP)

We have included the summary of the output of the meeting and will take the following actions:

[7] Update expected in RECCo's paper on Transformation Assurance.

1. Reiterate the standing invite Ofgem to the PAB, as well as agree the key touchpoints between assurance service and Ofgem, to drive greater interaction. Update the PAB on progress by March 2025.
2. Provide clear examples of how assurance actions have impacted the level of risk within the annual report. Update the PAB on progress by March 2025.
3. Within the assessment of stakeholder engagement, consider whether there are other groups like COGs with which we could engage to build on this successful approach. Update the PAB on progress in February 2025.
4. Within the change assurance update scheduled for May 2025 consider the reporting through to the PAB. This should include considering if there is an extra touchpoint with the PAB as a learning for R0155, and whether the PAB are provided the right information on the correct subset of changes.
5. Innovate the approach to papers, focusing on providing better standing information and focusing written papers on specific matters. Provide example improved papers to the PAB for feedback by the March 2025 PAB meeting.

See Appendix A (RECPAB_25_0128_06a)

6 RIG ENGAGEMENT RELATING TO QUALIFICATION

In December we proposed three enhancements to the REC Qualification process. The PAB requested we consult with the REC Issues Group on the implications relating to the transfer of data outside of the European Economic Area (EEA). To be transparent we consulted on all three matters, with the following comments being made:

- There was mixed reception to the work to streamline cyclical Information Security and Data Protection assessments for those that undergo assurance under the SEC. Parties welcomed the concept of integration and removing duplication but there were concerns related to the nature of the SEC assessments. We will conduct further work to understand those concerns, how to address them and how to securely share information with the SEC before implementing this enhancement.
- There were requests for clarification related to whom the process of approval for transfer of data outside the EEA would apply to, as the clause applies to only data processing on behalf of a data controller. We therefore propose that the Code Manager provides guidance to the industry that this clause would apply to non-REC Party Service Users, Metering Providers, REC Service Providers, and that Suppliers, Distribution Network Operators and Gas Transporters should consult with the REC Code Manager before transferring data outside of the EEA to confirm whether this clause applies. On that basis we will share the PAB's recommendation of the new the process as outlined in the December paper.

7 UPCOMING MEETINGS

25th February - Performance Assurance Board Meeting (Online) 10:00-14:30

25th March - Performance Assurance Board Meeting (In-person) 10:30-15:00

29TH April - Performance Assurance Board Meeting (Online) 10:00-14:30